

# **CHAPTER ELEVEN**

# **11 VOLUME SCATTERING**

We have assumed so far that scenes are made up of collections of surfaces in a vacuum, which means that radiance is constant along rays between surfaces. However, there are many real-world situations where this assumption is inaccurate: fog and smoke attenuate and scatter light, and scattering from particles in the atmosphere makes the sky blue and sunsets red. This chapter introduces the mathematics that describe how light is affected as it passes through *participating media*—large numbers of very small particles distributed throughout a region of 3D space. These volume scattering models in computer graphics are based on the assumption that there are so many particles that scattering is best modeled as a probabilistic process rather than directly accounting for individual interactions with particles. Simulating the effect of participating media makes it possible to render images with atmospheric haze, beams of light through clouds, light passing through cloudy water, and subsurface scattering, where light exits a solid object at a different place than where it entered.

This chapter first describes the basic physical processes that affect the radiance along rays passing through participating media, including the phase function, which characterizes the distribution of light scattered at a point in space. (It is the volumetric analog to the BSDF.) It then introduces transmittance, which describes the attenuation of light in participating media. Computing unbiased estimates of transmittance can be tricky, so we then discuss null scattering, a mathematical formalism that makes it easier to sample scattering integrals like the one that describes transmittance. Next, the Medium interface is defined; it is used for representing the properties of participating media in a region of space. Medium implementations provide information about the scattering properties at points in their extent. This chapter does not cover techniques related to computing lighting and the effect of multiple scattering in volumetric media; the associated Monte Carlo integration algorithms and implementations of Integrators that handle volumetric effects will be the topic of Chapter 14.

# **11.1 VOLUME SCATTERING PROCESSES**

There are three main physical processes that affect the distribution of radiance in an environment with participating media:

• *Absorption*: the reduction in radiance due to the conversion of light to another form of energy, such as heat.

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- Emission: radiance that is added to the environment from luminous particles.
- *Scattering:* radiance heading in one direction that is scattered to other directions due to collisions with particles.

The characteristics of all of these properties may be *homogeneous* or *inhomogeneous*. Homogeneous properties are constant throughout some region of space, while inhomogeneous properties vary throughout space. Figure 11.1 shows a simple example of volume scattering, where a spotlight shining through a homogeneous participating medium illuminates particles in the medium and casts a volumetric shadow.

All of these processes may have different behavior at different wavelengths of light. While wavelength-dependent emission can be handled in the same way that it is from surface emitters, wavelength-dependent absorption and scattering require special handling in Monte Carlo estimators. We will gloss past those details in this chapter, deferring discussion of them until Section 14.2.2.

Physically, these processes all happen discretely: a photon is absorbed by some particle or it is not. We will nevertheless model all of these as continuous processes, following the same assumptions as underlie our use of radiometry to model light in pbrt (Section 4.1). However, as we apply Monte Carlo to solve the integrals that describe this process, we will end up considering the effect of these processes at particular points in the scene, which we will term *scattering events*. Note that "scattering events" is a slight misnomer, since absorption is a possibility as well as scattering.

All the models in this chapter are based on the assumption that the positions of the particles are uncorrelated—in other words, that although their density may vary spatially, their positions are otherwise independent. (In the context of the colors of noise introduced in Section 8.1.6, the assumption is a white noise distribution of their positions.) This assumption does not hold for many types of physical media; for example, it is not possible for two par-

ticles to both be in the same point in space and so a true white noise distribution is not possible. See the "Further Reading" section at the end of the chapter for pointers to recent work in relaxing this assumption.

#### **11.1.1 ABSORPTION**

Consider thick black smoke from a fire: the smoke obscures the objects behind it because its particles absorb light traveling from the object to the viewer. The thicker the smoke, the more light is absorbed. Figure 11.2 shows this effect with a realistic cloud model.

Absorption is described by the medium's *absorption coefficient*,  $\sigma_a$ , which is the probability density that light is absorbed per unit distance traveled in the medium. (Note that the medium absorption is distinct from the absorption coefficient used in specifying indices of refraction of conductors, as introduced in Section 9.3.6.) It is usually a spectrally varying quantity, though we will neglect the implications of that detail in this chapter and return to them in Section 14.2.2. Its units are reciprocal distance (m<sup>-1</sup>), which means that  $\sigma_a$  can take



**Figure 11.2:** If a participating medium primarily absorbs light passing through it, it will have a dark appearance, as shown here. (a) A relatively dense medium leads to a more apparent boundary as well as a darker result. (b) A less dense medium gives a softer look, as more light makes it through the medium. (*Cloud model courtesy of Walt Disney Animation Studios.*)



on any nonnegative value; it is not required to be between 0 and 1, for instance. In general, the absorption coefficient may vary with both position p and direction  $\omega$ , although the volume scattering code in pbrt models it as purely a function of position. We will therefore sometimes simplify notation by not including  $\omega$  in the use of  $\sigma_a$  and other related scattering properties, though it is easy enough to reintroduce when it is relevant.

Figure 11.3 shows the effect of absorption along a very short segment of a ray. Some amount of radiance  $L_i(p, -\omega)$  is arriving at point p, and we would like to find the exitant radiance  $L_0(p, \omega)$  after absorption in the differential volume. This change in radiance along the differential ray length dt is described by the differential equation<sup>1</sup>

$$L_{\rm o}({\rm p},\,\omega) - L_{\rm i}({\rm p},\,-\omega) = dL_{\rm o}({\rm p},\,\omega) = -\sigma_{\rm a}({\rm p},\,\omega) L_{\rm i}({\rm p},\,-\omega) dt,$$

which says that the differential reduction in radiance along the beam is a linear function of its initial radiance. (This is another instance of the linearity assumption in radiometry: the fraction of light absorbed does not vary based on the ray's radiance, but is always a fixed fraction.)

This differential equation can be solved to give the integral equation describing the total fraction of light absorbed for a ray. If we assume that the ray travels a distance d in direction  $\omega$  through the medium starting at point p, the surviving portion of the original radiance is given by

$$e^{-\int_0^d \sigma_a(p+t\omega,\omega) dt}$$

#### 11.1.2 EMISSION

While absorption reduces the amount of radiance along a ray as it passes through a medium, emission increases it due to chemical, thermal, or nuclear processes that convert energy into visible light. Figure 11.4 shows emission in a differential volume, where we denote emitted radiance added to a ray per unit distance at a point p in direction  $\omega$  by  $\sigma_a(p, \omega)L_e(p, \omega)$ . Figure 11.5 shows the effect of emission with a data set from a physical simulation of an explosion.

The differential equation that gives the change in radiance due to emission is

$$dL_{o}(\mathbf{p},\omega) = \sigma_{a}(\mathbf{p},\omega) L_{e}(\mathbf{p},\omega) dt.$$
<sup>[11.1]</sup>

The presence of  $\sigma_a$  on the right hand side stems from the connection between how efficiently an object absorbs light and how efficiently it emits it, as was introduced in Section 4.4.1. That

<sup>1</sup> The position for the  $L_i$  functions should actually be  $p + dt\omega$ , though in a slight abuse of notation we will here and elsewhere use p.







Figure 11.5: A Participating Medium Where the Dominant Volumetric Effect Is Emission. (Scene courtesy of Jim Price.)

factor also ensures that the corresponding term has units of radiance when the differential equation is converted to an integral equation.

Note that this equation incorporates the assumption that the emitted light  $L_e$  is not dependent on the incoming light  $L_i$ . This is always true under the linear optics assumptions that pbrt is based on.

# **11.1.3 OUT SCATTERING AND ATTENUATION**

The third basic light interaction in participating media is scattering. As a ray passes through a medium, it may collide with particles and be scattered in different directions. This has two effects on the total radiance that the beam carries. It reduces the radiance exiting a differential region of the beam because some of it is deflected to different directions. This effect is called



*out scattering* (Figure 11.6) and is the topic of this section. However, radiance from other rays may be scattered into the path of the current ray; this *in-scattering* process is the subject of the next section. We will sometimes say that these two forms of scattering are *real scattering*, to distinguish them from null scattering, which will be introduced in Section 11.2.1.

The probability of an out-scattering event occurring per unit distance is given by the *scatter-ing coefficient*,  $\sigma_s$ . Similar to absorption, the reduction in radiance along a differential length dt due to out scattering is given by

$$dL_{o}(p, \omega) = -\sigma_{s}(p, \omega) L_{i}(p, -\omega) dt$$

The total reduction in radiance due to absorption and out scattering is given by the sum  $\sigma_a + \sigma_s$ . This combined effect of absorption and out scattering is called *attenuation* or *extinction*. The sum of these two coefficients is denoted by the attenuation coefficient  $\sigma_t$ :

$$\sigma_{t}(\mathbf{p}, \omega) = \sigma_{a}(\mathbf{p}, \omega) + \sigma_{s}(\mathbf{p}, \omega).$$

Two values related to the attenuation coefficient will be useful in the following. The first is the *single-scattering albedo*, which is defined as

$$\rho(\mathbf{p}, \omega) = \frac{\sigma_{s}(\mathbf{p}, \omega)}{\sigma_{t}(\mathbf{p}, \omega)}.$$

Under the assumptions of radiometry, the single-scattering albedo is always between 0 and 1. It describes the probability of scattering (versus absorption) at a scattering event. The second is the *mean free path*,  $1/\sigma_t(p, \omega)$ , which gives the average distance that a ray travels in a medium with attenuation coefficient  $\sigma_t(p, \omega)$  before interacting with a particle.

## **11.1.4 IN SCATTERING**

While out scattering reduces radiance along a ray due to scattering in different directions, *in scattering* accounts for increased radiance due to scattering from other directions (Figure 11.7). Figure 11.8 shows the effect of in scattering with the cloud model. There is no absorption there, corresponding to a single scattering albedo of 1. Light thus scatters many times inside the cloud, giving it a very different appearance.

Assuming that the separation between particles is at least a few times the lengths of their radii, it is possible to ignore inter-particle interactions when describing scattering at a particular location. Under this assumption, the *phase function*  $p(\omega, \omega')$  describes the angular distribution of scattered radiation at a point; it is the volumetric analog to the BSDF. The BSDF analogy is not exact, however. For example, phase functions have a normalization constraint: for all  $\omega$ , the condition



**Figure 11.7:** In scattering accounts for the increase in radiance along a ray due to scattering of light from other directions. Radiance from outside the differential volume is scattered along the direction of the ray and added to the incoming radiance.



**Figure 11.8: In Scattering with the Cloud Model.** For these scenes, there is no absorption and only scattering, which gives a substantially different result than the clouds in Figure 11.2. (a) Relatively dense cloud. (b) Thinner cloud. (*Cloud model courtesy of Walt Disney Animation Studios.*)

$$\int_{\mathbb{S}^2} p(\omega, \omega') \, \mathrm{d}\omega' = 1 \tag{11.2}$$

must hold.<sup>2</sup> This constraint means that phase functions are probability distributions for scattering in a particular direction.

<sup>2</sup> This difference is purely due to convention; the phase function could have equally well been defined to include the albedo, like the BSDF.

The total added radiance per unit distance due to in scattering is given by the *source function*  $L_s$ :

$$dL_{o}(p, \omega) = \sigma_{t}(p, \omega) L_{s}(p, \omega) dt.$$

It accounts for both volume emission and in scattering:

$$L_{s}(\mathbf{p},\omega) = \frac{\sigma_{a}(\mathbf{p},\omega)}{\sigma_{t}(\mathbf{p},\omega)} L_{e}(\mathbf{p},\omega) + \frac{\sigma_{s}(\mathbf{p},\omega)}{\sigma_{t}(\mathbf{p},\omega)} \int_{\mathbb{S}^{2}} p(\mathbf{p},\omega_{i},\omega) L_{i}(\mathbf{p},\omega_{i}) d\omega_{i}.$$
 [11.3]

The in-scattering portion of the source function is the product of the albedo and the amount of added radiance at a point, which is given by the spherical integral of the product of incident radiance and the phase function. Note that the source function is very similar to the scattering equation, Equation (4.14); the main difference is that there is no cosine term since the phase function operates on radiance rather than differential irradiance.

# **11.2 TRANSMITTANCE**

The scattering processes in Section 11.1 are all specified in terms of their local effect at points in space. However, in rendering, we are usually interested in their aggregate effects on radiance along a ray, which usually requires transforming the differential equations to integral equations that can be solved using Monte Carlo. The reduction in radiance between two points on a ray due to extinction is a quantity that will often be useful; for example, we will need to estimate this value to compute the attenuated radiance from a light source that is incident at a point on a surface in scenes with participating media.

Given the attenuation coefficient  $\sigma_t$ , the differential equation that describes extinction,

$$\frac{\mathrm{d}L_{\mathrm{o}}(\mathbf{p},\,\omega)}{\mathrm{d}t} = -\sigma_{\mathrm{t}}(\mathbf{p},\,\omega)\,L_{\mathrm{i}}(\mathbf{p},\,-\omega),\tag{11.4}$$

can be solved to find the *beam transmittance*  $T_r$ , which gives the fraction of radiance that is transmitted between two points:

$$T_{\rm r}({\rm p} \to {\rm p}') = {\rm e}^{-\int_0^u \sigma_{\rm t}({\rm p} + t\omega, \omega) \, {\rm d}t}, \tag{11.5}$$

where  $d = ||\mathbf{p} - \mathbf{p}'||$  is the distance between p and p', and  $\omega$  is the normalized direction vector between them. Note that the transmittance is always between 0 and 1. Thus, if exitant radiance from a point p on a surface in a given direction  $\omega$  is given by  $L_0(\mathbf{p}, \omega)$ , then after accounting for extinction the incident radiance at another point p' in direction  $-\omega$  is

$$T_{\rm r}({\rm p} \to {\rm p}') L_{\rm o}({\rm p}, \omega).$$

This idea is illustrated in Figure 11.9.





**Figure 11.10: Shadow-Casting Volumetric Bunny.** The bunny, which is modeled entirely with participating media, casts a shadow on the ground plane because it attenuates light from the sun (which is to the left) on its way to the ground. (Bunny courtesy of the Stanford Computer Graphics Laboratory; volumetric enhancement courtesy of the OpenVDB sample model repository.)



Not only is transmittance useful for modeling the attenuation of light within participating media, but accounting for transmittance along shadow rays makes it possible to accurately model shadowing on surfaces due to the effect of media; see Figure 11.10.

Two useful properties of beam transmittance are that transmittance from a point to itself is 1,  $T_r(p \rightarrow p) = 1$ , and in a vacuum  $\sigma_t = 0$  and so  $T_r(p \rightarrow p') = 1$  for all p'. Furthermore, if the attenuation coefficient satisfies the directional symmetry  $\sigma_t(\omega) = \sigma_t(-\omega)$  or does not vary with direction  $\omega$  and only varies as a function of position, then the transmittance between two points is the same in both directions:

$$T_{\rm r}({\rm p} \rightarrow {\rm p}') = T_{\rm r}({\rm p}' \rightarrow {\rm p}).$$

This property follows directly from Equation (11.5).

Another important property, true in all media, is that transmittance is multiplicative along points on a ray:

$$T_{\rm r}({\rm p} \to {\rm p}'') = T_{\rm r}({\rm p} \to {\rm p}') T_{\rm r}({\rm p}' \to {\rm p}''),$$
 [11.6]

for all points p' between p and p'' (Figure 11.11). This property is useful for volume scattering implementations, since it makes it possible to incrementally compute transmittance at multiple points along a ray: transmittance from the origin to a point  $T_r(o \rightarrow p)$  can be computed

by taking the product of transmittance to a previous point  $T_r(o \rightarrow p')$  and the transmittance of the segment between the previous and the current point  $T_r(p' \rightarrow p)$ .

The negated exponent in the definition of  $T_r$  in Equation (11.5) is called the *optical thickness* between the two points. It is denoted by the symbol  $\tau$ :

$$\tau(\mathbf{p} \to \mathbf{p}') = \int_0^d \sigma_t(\mathbf{p} + t\omega, \omega) \, \mathrm{d}t.$$

In a homogeneous medium,  $\sigma_t$  is a constant, so the integral that defines  $\tau$  is trivially evaluated, giving *Beer's law:* 

$$T_{\rm r}({\rm p} \to {\rm p}') = {\rm e}^{-\sigma_{\rm t} d}.$$
<sup>(11.7)</sup>

It may appear that a straightforward application of Monte Carlo could be used to compute the beam transmittance in inhomogeneous media. Equation (11.5) consists of a 1D integral over a ray's parametric t position that is then exponentiated; given a method to sample distances along the ray t' according to some distribution p, one could evaluate the estimator:

$$e^{-\int_0^d \sigma_t(\mathbf{p}+t\omega,\omega) \, \mathrm{d}t} \approx e^{-\left[\frac{\sigma_t(\mathbf{p}+t'\omega,\omega)}{p(t')}\right]}.$$
(11.8)

However, even if the estimator in square brackets is an unbiased estimator of the optical thickness along the ray, the estimate of transmittance is *not* unbiased and will actually underestimate its value:  $E[e^{-X}] \neq e^{-E[X]}$ . (This state of affairs is explained by *Jensen's inequality* and the fact that  $e^{-x}$  is a convex function.)

The error introduced by estimators of the form of Equation (11.8) decreases as error in the estimate of the beam transmittance decreases. For many applications, this error may be acceptable—it is still widespread practice in graphics to estimate  $\tau$  in some manner, e.g., via a Riemann sum, and then to compute the transmittance that way. However, it is possible to derive an alternative equation for transmittance that allows unbiased estimation; that is the approach used in pbrt.

First, we will consider the change in radiance between two points p and p' along the ray. Integrating Equation (11.4) and dropping the directional dependence of  $\sigma_t$  for notational simplicity, we can find that

$$\int_0^d \frac{\mathrm{d}L(\mathbf{p}+t\omega)}{\mathrm{d}t} \,\mathrm{d}t = L(\mathbf{p}') - L(\mathbf{p}) = \int_0^d -\sigma_t(\mathbf{p}+t\omega) \,L(\mathbf{p}+t\omega) \,\mathrm{d}t, \qquad (11.9)$$

where, as before, *d* is the distance between p and p' and  $\omega$  is the normalized vector from p to p'.

The transmittance is the fraction of the original radiance, and so  $T_r(p \rightarrow p') = L(p')/L(p)$ . Thus, if we divide Equation (11.9) by L(p) and rearrange terms, we can find that

$$T_{\rm r}({\rm p} \rightarrow {\rm p}') = 1 - \int_0^d \sigma_{\rm t}({\rm p} + t\omega) \ T_{\rm r}({\rm p} + t\omega \rightarrow {\rm p}') \ {\rm d}t. \tag{11.10}$$

We have found ourselves with transmittance defined recursively in terms of an integral that includes transmittance in the integrand; although this may seem to be making the problem more complex than it was before, this definition makes it possible to apply Monte Carlo to the integral and to compute unbiased estimates of transmittance. However, it is difficult to sample this integrand well; in practice, estimates of it will have high variance. Therefore, the following section will introduce an alternative formulation of it that is amenable to sampling and makes a number of efficient solution techniques possible.



#### **11.2.1 NULL SCATTERING**

The key idea that makes it possible to derive a more easily sampled transmittance integral is an approach known as *null scattering*. Null scattering is a mathematical formalism that can be interpreted as introducing an additional type of scattering that does not correspond to any type of physical scattering process but is specified so that it has no effect on the distribution of light. In doing so, null scattering makes it possible to treat inhomogeneous media as if they were homogeneous, which makes it easier to apply sampling algorithms to inhomogeneous media. (In Chapter 14, we will see that it is a key foundation for volumetric light transport algorithms beyond transmittance estimation.)

We will start by defining the *null-scattering coefficient*  $\sigma_n$ . Similar to the other scattering coefficients, it gives the probability of a null-scattering event per unit distance traveled in the medium. Here, we will define  $\sigma_n(p)$  via a constant *majorant*  $\sigma_{maj}$  that is greater than or equal to  $\sigma_a + \sigma_s$  at all points in the medium:<sup>3</sup>

$$\sigma_{n}(\mathbf{p},\omega) = \sigma_{maj} - \sigma_{t}(\mathbf{p},\omega). \tag{11.11}$$

Thus, the total scattering coefficient  $\sigma_a + \sigma_s + \sigma_n = \sigma_{maj}$  is uniform throughout the medium. (This idea is illustrated in Figure 11.12.)

With this definition of  $\sigma_n$ , we can rewrite Equation (11.4) in terms of the majorant and the null-scattering coefficient:

$$\frac{\mathrm{d}L_{\mathrm{o}}(\mathrm{p},\omega)}{\mathrm{d}t} = -(\sigma_{\mathrm{maj}} - \sigma_{\mathrm{n}}(\mathrm{p},\omega)) L_{\mathrm{i}}(\mathrm{p},-\omega). \tag{11.12}$$

We will not include the full derivation here, but just as with Equation (11.10), this equation can be integrated over the segment of a ray and divided by the initial radiance L(p) to find an equation for the transmittance. The result is:

$$T_{\rm r}({\rm p} \to {\rm p}') = {\rm e}^{-\sigma_{\rm maj} d} + \int_0^d {\rm e}^{-\sigma_{\rm maj} t} \sigma_{\rm n}({\rm p} + t\omega) \ T_{\rm r}({\rm p} + t\omega \to {\rm p}') \ {\rm d}t.$$
 [11.13]

Note that with this expression of transmittance and a homogeneous medium,  $\sigma_n = 0$  and the integral disappears. The first term then corresponds to Beer's law. For inhomogeneous

<sup>3</sup> The attentive reader will note that for some of the following Monte Carlo estimators based on null scattering, there is no mathematical requirement that  $\sigma_n$  must be positive and that thus, the so-called majorant is not necessarily greater than or equal to  $\sigma_a + \sigma_s$ . It turns out that Monte Carlo estimators that include negative  $\sigma_n$  values tend to have high variance, so in practice actual majorants are used.

media, the first term can be seen as computing an underestimate of the true transmittance, where the integral then accounts for the rest of it.

To compute Monte Carlo estimates of Equation (11.13), we would like to sample a distance t' from some distribution that is proportional to the integrand and then apply the regular Monte Carlo estimator. A convenient sampling distribution is the probability density function (PDF) of the exponential distribution that is derived in Section A.4.2. In this case, the PDF associated with  $e^{-\sigma_{maj}t}$  is

$$p_{\rm maj}(t) = \sigma_{\rm maj} \, {\rm e}^{-\sigma_{\rm maj} t}$$

and a corresponding sampling recipe is available via the SampleExponential() function.

Because  $p_{\text{maj}}$  is nonzero over the range  $[0, \infty)$ , the sampling algorithm will sometimes generate samples t' > d, which may seem to be undesirable. However, although we could define a PDF for the exponential function limited to [0, d], sampling from  $p_{\text{maj}}$  leads to a simple way to terminate the recursive evaluation of transmittance. To see why, consider rewriting the second term of Equation (11.13) as the sum of two integrals that cover the range  $[0, \infty)$ :

$$\int_0^d e^{-\sigma_{\text{maj}}t} \sigma_n(\mathbf{p} + t\omega) T_r(\mathbf{p} + t\omega \to \mathbf{p}') \, \mathrm{d}t + \int_d^\infty 0 \, \mathrm{d}t. \tag{11.14}$$

If the Monte Carlo estimator is applied to this sum, we can see that the value of t' with respect to d determines which integrand is evaluated and thus that sampling t' > d can be conveniently interpreted as a condition for ending the recursive estimation of Equation (11.13).

Given the decision to sample from  $p_{maj}$ , perhaps the most obvious approach for estimating the value of Equation (11.13) is to sample t' in this way and to directly apply the Monte Carlo estimator, which gives

$$T_{\rm r}({\rm p} \to {\rm p}') \approx {\rm e}^{-\sigma_{\rm maj}d} + \begin{cases} \frac{\sigma_{\rm n}({\rm p}+t'\omega)}{\sigma_{\rm maj}} T_{\rm r}({\rm p}+t'\omega \to {\rm p}') & t' < d \\ 0 & \text{otherwise.} \end{cases}$$
(11.15)

This estimator is known as the *next-flight estimator*. It has the advantage that it has zero variance for homogeneous media, although interestingly it is often not as efficient as other estimators for inhomogeneous media.

Other estimators randomly choose between the two terms of Equation (11.13) and only evaluate one of them. If we define  $p_e$  as the discrete probability of evaluating the first term, transmittance can be estimated by

$$T_{\rm r}({\rm p} \to {\rm p}') \approx \begin{cases} \frac{{\rm e}^{-\sigma_{\rm maj}a}}{p_{\rm e}} & \text{with probability } p_{\rm e} \\ \frac{1}{1-p_{\rm e}} \int_0^d {\rm e}^{-\sigma_{\rm maj}t} \sigma_{\rm n}({\rm p}+t\omega) T_{\rm r}({\rm p}+t\omega \to {\rm p}') \, {\rm d}t & \text{otherwise.} \end{cases}$$

$$[11.16]$$

The *ratio tracking* estimator is the result from setting  $p_e = e^{-\sigma_{maj}d}$ . Then, the first case of Equation (11.16) yields a value of 1. We can further combine the choice between the two cases with sampling t' using the fact that the probability that t' > d is equal to  $e^{-\sigma_{maj}d}$ . (This can be seen using  $p_{maj}$ 's cumulative distribution function (CDF), Equation (A.1).) After simplifying, the resulting estimator works out to be:

$$T_{\rm r}({\rm p} \to {\rm p}') \approx \begin{cases} 1 & t' > d \\ \frac{\sigma_{\rm n}({\rm p}+t'\omega)}{\sigma_{\rm maj}} T_{\rm r}({\rm p}+t'\omega \to {\rm p}') & \text{otherwise.} \end{cases}$$
<sup>[11.17]</sup>

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If the recursive evaluations are expanded out, ratio tracking leads to an estimator of the form

$$T_{\rm r}({\rm p} \to {\rm p}') \approx \prod_{i}^{n} \frac{\sigma_{\rm n}(p+t_{i}\omega)}{\sigma_{\rm maj}}$$

where  $t_i$  are the series of t values that are sampled from  $p_{maj}$  and where successive  $t_i$  values are sampled starting from the previous one until one is sampled past the endpoint. Ratio tracking is the technique that is implemented to compute transmittance in pbrt's light transport routines in Chapter 14.

A disadvantage of ratio tracking is that it continues to sample the medium even after the transmittance has become very small. Russian roulette can be used to terminate recursive evaluation to avoid this problem. If the Russian roulette termination probability at each sampled point is set to be equal to the ratio of  $\sigma_n$  and  $\sigma_{maj}$ , then the scaling cancels and the estimator becomes

$$T_{\rm r}({\rm p} \to {\rm p}') \approx \begin{cases} 1 & t' > d \\ T_{\rm r}({\rm p} + t'\omega \to {\rm p}') & t' \le d \text{ and with probability } \frac{\sigma_{\rm n}({\rm p} + t'\omega)}{\sigma_{\rm maj}} & \text{(11.18)} \\ 0 & \text{otherwise.} \end{cases}$$

Thus, recursive estimation of transmittance continues either until termination due to Russian roulette or until the sampled point is past the endpoint. This approach is the *track-length transmittance estimator*, also known as *delta tracking*.

A physical interpretation of delta tracking is that it randomly decides whether the ray interacts with a true particle or a fictitious particle at each scattering event. Interactions with fictitious particles (corresponding to null scattering) are ignored and the algorithm continues, restarting from the sampled point. Interactions with true particles cause extinction, in which case 0 is returned. If a ray makes it through the medium without extinction, the value 1 is returned.

Delta tracking can also be used to sample positions *t* along a ray with probability proportional to  $\sigma_t(t)T_r(t)$ . The algorithm is given by the following pseudocode, which assumes that the function u() generates a uniform random number between 0 and 1 and where the recursion has been transformed into a loop:

```
optional<Point> DeltaTracking(Point p, Vector w, Float sigma_maj, Float d) {
   Float t = SampleExponential(u(), sigma_maj);
   while (t < d) {
      Float sigma_n = /* evaluate sigma_n at p + t * w */;
      if (u() < sigma_n / sigma_maj)
        t += SampleExponential(u(), sigma_maj);
      else
        return p + t * w;
   }
   return {}; /* no sample before d */
}</pre>
```

# **11.3 PHASE FUNCTIONS**

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Just as there is a wide variety of BSDF models that describe scattering from surfaces, many phase functions have also been developed. These range from parameterized models (which can be used to fit a function with a small number of parameters to measured data) to analytic models that are based on deriving the scattered radiance distribution that results from particles with known shape and material (e.g., spherical water droplets).

In most naturally occurring media, the phase function is a 1D function of the angle  $\theta$  between the two directions  $\omega_0$  and  $\omega_i$ ; these phase functions are often written as  $p(\cos \theta)$ . Media with this type of phase function are called *isotropic* or *symmetric* because their response to incident illumination is (locally) invariant under rotations. In addition to being normalized, an important property of naturally occurring phase functions is that they are *reciprocal*: the two directions can be interchanged and the phase function's value remains unchanged. Note that symmetric phase functions are trivially reciprocal because  $\cos(-\theta) = \cos(\theta)$ .

In *anisotropic* media that consist of particles arranged in a coherent structure, the phase function can be a 4D function of the two directions, which satisfies a more involved kind of reciprocity relation. Examples of this are crystals or media made of coherently oriented fibers; the "Further Reading" discusses these types of media further.

In a slightly confusing overloading of terminology, phase functions themselves can be isotropic or anisotropic as well. Thus, we might have an anisotropic phase function in an isotropic medium. An isotropic phase function describes equal scattering in all directions and is thus independent of either of the two directions. Because phase functions are normalized, there is only one such function:

$$p(\omega_{\rm o}, \omega_{\rm i}) = \frac{1}{4\pi}.$$

The PhaseFunction class defines the PhaseFunction interface. Only a single phase function is currently provided in pbrt, but we have used the TaggedPointer machinery to make it easy to add others. Its implementation is in the file base/medium.h.

The p() method returns the value of the phase function for the given pair of directions. As with BSDFs, pbrt uses the convention that the two directions both point away from the point where scattering occurs; this is a different convention from what is usually used in the scattering literature (Figure 11.13).

```
\langle PhaseFunction Interface \rangle \equiv
Float p(Vector3f wo, Vector3f wi) const;
```

710

It is also useful to be able to draw samples from the distribution described by a phase function. PhaseFunction implementations therefore must provide a Sample\_p() method, which



Float 23 HGPhaseFunction 713 TaggedPointer 1073 Vector3f 86 samples an incident direction  $\omega_i$  given the outgoing direction  $\omega_o$  and a sample value in  $[0, 1)^2$ .

```
⟨PhaseFunction Interface⟩ + = 710
pstd::optional<PhaseFunctionSample> Sample p(Vector3f wo, Point2f u) const;
```

Phase function samples are returned in a structure that stores the phase function's value p, the sampled direction wi, and the PDF pdf.

```
(PhaseFunctionSample Definition) =
   struct PhaseFunctionSample {
     Float p;
     Vector3f wi;
     Float pdf;
};
```

An accompanying PDF() method returns the value of the phase function sampling PDF for the provided directions.

```
(PhaseFunction Interface) +≡
Float PDF(Vector3f wo, Vector3f wi) const;
```

#### 11.3.1 THE HENYEY-GREENSTEIN PHASE FUNCTION

A widely used phase function was developed by Henyey and Greenstein (1941). This phase function was specifically designed to be easy to fit to measured scattering data. A single parameter g (called the *asymmetry parameter*) controls the distribution of scattered light:<sup>4</sup>

$$p_{\rm HG}(\cos\theta) = \frac{1}{4\pi} \frac{1-g^2}{(1+g^2+2g(\cos\theta))^{3/2}}.$$

The HenyeyGreenstein() function implements this computation.

```
(Scattering Inline Functions) +=
Float HenyeyGreenstein(Float cosTheta, Float g) {
    Float denom = 1 + Sqr(g) + 2 * g * cosTheta;
    return Inv4Pi * (1 - Sqr(g)) / (denom * SafeSqrt(denom));
}
```

The asymmetry parameter g in the Henyey–Greenstein model has a precise meaning. It is the integral of the product of the given phase function and the cosine of the angle between  $\omega'$  and  $\omega$  and is referred to as the *mean cosine*. Given an arbitrary phase function p, the value of g can be computed as<sup>5</sup>

$$g = \int_{\mathbb{S}^2} p(-\omega, \omega')(\omega \cdot \omega') \, \mathrm{d}\omega' = 2\pi \int_0^\pi p(-\cos\theta) \, \cos\theta \, \sin\theta \, \mathrm{d}\theta.$$
 [11.19]

Thus, an isotropic phase function gives g = 0, as expected.

Any number of phase functions can satisfy this equation; the *g* value alone is not enough to uniquely describe a scattering distribution. Nevertheless, the convenience of being able to easily convert a complex scattering distribution into a simple parameterized model is often more important than this potential loss in accuracy.

4 Note that the sign of the  $2g(\cos \theta)$  term in the denominator is the opposite of the sign used in the scattering literature. This difference is due to our use of the same direction convention for BSDFs and phase functions.

5 Once more, there is a sign difference compared to the radiative transfer literature: the first argument to p is negated due to our use of the same direction convention for BSDFs and phase functions.

Float 23 Inv4Pi 1033 PhaseFunctionSample 711 Point2f 92 SafeSqrt() 1034 Sqr() 1034 Vector3f 86



Figure 11.14: Plots of the Henyey–Greenstein Phase Function for Asymmetry g Parameters -0.25 and 0.7. Negative g values describe phase functions that primarily scatter light back in the incident direction, and positive g values describe phase functions that primarily scatter light forward in the direction it was already traveling (here, along the +x axis).



**Figure 11.15:** Ganesha model filled with participating media rendered with (left) strong backward scattering (g = -0.9) and (right) strong forward scattering (g = 0.9). Because most of the light comes from a light source behind the objects, forward scattering leads to more light reaching the camera in this case.

More complex phase functions that are not described well with a single asymmetry parameter can often be modeled by a weighted sum of phase functions like Henyey–Greenstein, each with different parameter values:

$$p(\omega, \omega') = \sum_{i=1}^{n} w_i \ p_i(\omega \to \omega'),$$

where the weights  $w_i$  sum to one to maintain normalization. This generalization is not provided in pbrt but would be easy to add.

Figure 11.14 shows plots of the Henyey–Greenstein phase function with varying asymmetry parameters. The value of *g* for this model must be in the range (-1, 1). Negative values of *g* correspond to *back-scattering*, where light is mostly scattered back toward the incident direction, and positive values correspond to forward-scattering. The greater the magnitude of *g*, the more scattering occurs close to the  $\omega$  or  $-\omega$  directions (for back-scattering and forward-scattering, respectively). See Figure 11.15 to compare the visual effect of forward-and back-scattering.

The HGPhaseFunction class implements the Henyey–Greenstein model in the context of the PhaseFunction interface.

Its only parameter is g, which is provided to the constructor and stored in a member variable.

$\langle HGPhaseFunction Public Methods \rangle \equiv$	713
HGPhaseFunction(Float g) : g(g) {}	
$\langle HGPhaseFunction Private Members \rangle \equiv$	713
Float g;	

Evaluating the phase function is a simple matter of calling the HenyeyGreenstein() function.

(HGPhaseFunction Public Methods) += 713
Float p(Vector3f wo, Vector3f wi) const {
 return HenyeyGreenstein(Dot(wo, wi), g);

It is possible to sample directly from the Henyey–Greenstein phase function's distribution. This operation is provided via a stand-alone utility function. Because the sampling algorithm is exact and because the Henyey–Greenstein phase function is normalized, the PDF is equal to the phase function's value for the sampled direction.

The PDF for the Henyey–Greenstein phase function is separable into  $\theta$  and  $\phi$  components, with  $p(\phi) = 1/(2\pi)$  as usual. The main task is to sample  $\cos \theta$ . With pbrt's convention for the orientation of direction vectors, the distribution for  $\theta$  is

$$\cos \theta = -\frac{1}{2g} \left( 1 + g^2 - \left( \frac{1 - g^2}{1 + g - 2g\xi} \right)^2 \right)$$

if  $g \neq 0$ ; otherwise,  $\cos \theta = 1 - 2\xi$  gives a uniform sampling over the sphere of directions.

Dot() 89 Float 23 HenyeyGreenstein() 711 HGPhaseFunction 713 PhaseFunction 710 Point2f 92 Sqr() 1034 Vector3f 86

713

714

The  $(\cos \theta, \phi)$  values specify a direction with respect to a coordinate system where wo is along the +z axis. Therefore, it is necessary to transform the sampled vector to wo's coordinate system before returning it.

```
{Compute direction wi for Henyey-Greenstein sample} = 713
Float sinTheta = SafeSqrt(1 - Sqr(cosTheta));
Float phi = 2 * Pi * u[1];
Frame wFrame = Frame::FromZ(wo);
Vector3f wi = wFrame.FromLocal(SphericalDirection(sinTheta, cosTheta, phi));
```

The HGPhaseFunction sampling method is now easily implemented.

```
(HGPhaseFunction Public Methods) += 713
pstd::optional<PhaseFunctionSample> Sample_p(Vector3f wo, Point2f u) const {
    Float pdf;
    Vector3f wi = SampleHenyeyGreenstein(wo, g, u, &pdf);
    return PhaseFunctionSample{pdf, wi, pdf};
}
```

Because sampling is exact and phase functions are normalized, its PDF() method just evaluates the phase function for the given directions.

```
(HGPhaseFunction Public Methods) + =
Float PDF(Vector3f wo, Vector3f wi) const { return p(wo, wi); }
```

# **11.4 MEDIA**

Implementations of the Medium interface provide various representations of volumetric scattering properties in a region of space. In a complex scene, there may be multiple Medium instances, each representing different types of scattering in different parts of the scene. For example, an outdoor lake scene might have one Medium to model atmospheric scattering, another to model mist rising from the lake, and a third to model particles suspended in the water of the lake.

The Medium interface is also defined in the file base/media.h.

```
(Medium Definition) ≡
  class Medium : public TaggedPointer<(Medium Types 714)> {
    public:
        (Medium Interface 717)
        (Medium Public Methods)
};
```

pbrt provides five medium implementations. The first three will be discussed in the book, but CloudMedium is only included in the online edition of the book and the last, NanoVDBMedium, will not be presented at all. (It provides support for using volumes defined in the *NanoVDB* format in pbrt. As elsewhere, we avoid discussion of the use of third-party APIs in the book text.)

```
(Medium Types) =
HomogeneousMedium, GridMedium, RGBGridMedium, CloudMedium, NanoVDBMedium
```

Before we get to the specification of the methods in the interface, we will describe a few details related to how media are represented in pbrt.

CloudMedium 714 Float 23 Frame 133 Frame::FromLocal() 134 Frame::FromZ() 134 GridMedium 728 HGPhaseFunction::p() 713 HomogeneousMedium 720 NanoVDBMedium 714 PhaseEunctionSample 711 Pi 1033 Point2f 92 RGBGridMedium 731 SafeSqrt() 1034 SampleHenvevGreenstein() 713 SphericalDirection() 106 Sgr() 1034 TaggedPointer 1073 Vector3f 86

The spatial distribution and extent of media in a scene is defined by associating Medium instances with the camera, lights, and primitives in the scene. For example, Cameras store a Medium that represents the medium that the camera is inside. Rays leaving the camera then have the Medium associated with them. In a similar fashion, each Light stores a Medium representing its medium. A nullptr value can be used to indicate a vacuum (where no volumetric scattering occurs).

In pbrt, the boundary between two different types of scattering media is always represented by the surface of a primitive. Rather than storing a single Medium like lights and cameras each do, primitives may store a MediumInterface, which stores the medium on each side of the primitive's surface.

 $\langle MediumInterface Definition \rangle \equiv$ 

〈MediumInterface Public Members 715〉

};

MediumInterface holds two Mediums, one for the interior of the primitive and one for the exterior.

⟨MediumInterface Public Members⟩ ≡ Medium inside, outside;

715

715

Specifying the extent of participating media in this way does allow the user to specify impossible or inconsistent configurations. For example, a primitive could be specified as having one medium outside of it, and the camera could be specified as being in a different medium without there being a MediumInterface between the camera and the surface of the primitive. In this case, a ray leaving the primitive toward the camera would be treated as being in a different medium from a ray leaving the camera toward the primitive. In turn, light transport algorithms would be unable to compute consistent results. For pbrt's purposes, we think it is reasonable to expect that the user will be able to specify a consistent configuration of media in the scene and that the added complexity of code to check this is not worthwhile.

A MediumInterface can be initialized with either one or two Medium values. If only one is provided, then it represents an interface with the same medium on both sides.

The IsMediumTransition() method indicates whether a particular MediumInterface instance marks a transition between two distinct media.

```
(MediumInterface Public Methods) +≡
bool IsMediumTransition() const { return inside != outside; }
```

With this context in hand, we can now provide a missing piece in the implementation of the SurfaceInteraction::SetIntersectionProperties() method—the implementation of the (*Set medium properties at surface intersection*) fragment. (Recall that this method is called by Primitive Intersect() methods when an intersection has been found.)

Instead of simply copying the value of the primitive's MediumInterface into the Surface Interaction, it follows a slightly different approach and only uses this MediumInterface if it specifies a proper transition between participating media. Otherwise, the Ray::medium field

Camera 206 Light 740 Medium 714 MediumInterface 715 MediumInterface::inside 715 MediumInterface::outside 715 Primitive 398 Primitive::Intersect() 398 SurfaceInteraction 138 SurfaceIntersectionProperties()

398

136

136

takes precedence. Setting the SurfaceInteraction's mediumInterface field in this way greatly simplifies the specification of scenes containing media: in particular, it is not necessary to provide corresponding Mediums at every scene surface that is in contact with a medium. Instead, only non-opaque surfaces that have different media on each side require an explicit medium interface. In the simplest case where a scene containing opaque objects is filled with a participating medium (e.g., haze), it is enough for the camera and light sources to have their media specified accordingly.

```
(Set medium properties at surface intersection) \equiv
if (primMediumInterface && primMediumInterface->IsMediumTransition())
```

```
mediumInterface = primMediumInterface;
```

else

```
medium = rayMedium;
```

Once mediumInterface or medium is set, it is possible to implement methods that return information about the local media. For surface interactions, a direction w can be specified to select a side of the surface. If a MediumInterface has been stored, the dot product with the surface normal determines whether the inside or outside medium should be returned. Otherwise, medium is returned.

For interactions that are known to be inside participating media, another variant of Get Medium() that does not take the irrelevant outgoing direction vector is available. In this case, if a MediumInterface \* has been stored, it should point to the same medium for both "inside" and "outside."

```
(Interaction Public Methods) +=
Medium GetMedium() const {
    return mediumInterface ? mediumInterface->inside : medium;
}
```

Primitives associated with shapes that represent medium boundaries generally have a Material associated with them. For example, the surface of a lake might use an instance of DielectricMaterial to describe scattering at the lake surface, which also acts as the boundary between the rising mist's Medium and the lake water's Medium. However, sometimes we only need the shape for the boundary surface that it provides to delimit a participating medium boundary and we do not want to see the surface itself. For example, the medium representing a cloud might be bounded by a box made of triangles where the triangles are only there to delimit the cloud's extent and should not otherwise affect light passing through them.

While such a surface that disappears and does not affect ray paths could be accurately described by a BTDF that represents perfect specular transmission with the same index of refraction on both sides, dealing with such surfaces places extra burden on the Integrators (not all of which handle this type of specular light transport well). Therefore, pbrt allows such surfaces to have a Material that is nullptr, indicating that they do not affect light passing through them; in turn, SurfaceInteraction::GetBSDF() will return an unset BSDF. The light transport routines then do not worry about light scattering from such surfaces and only DielectricMaterial 679 Interaction::medium 138 Interaction::mediumInterface 138 Material 674 Medium 714 MediumInterface:: ISMediumTransition() 715 MediumInterface::outside 715 SurfaceInteraction 138 SurfaceInteraction::GetBSDF() 682 Vector3f 86





account for changes in the current medium at them. For an example of the difference that scattering at the surface makes, see Figure 11.16, which has two instances of the Ganesha model filled with scattering media; one has a scattering surface at the boundary and the other does not.

#### 11.4.1 Medium INTERFACE

Medium implementations must include three methods. The first is IsEmissive(), which indicates whether they include any volumetric emission. This method is used solely so that pbrt can check if a scene has been specified without any light sources and print an informative message if so.

```
⟨Medium Interface⟩ ≡
bool IsEmissive() const;
```

The SamplePoint() method returns information about the scattering and emission properties of the medium at a specified rendering-space point in the form of a MediumProperties object.

Medium 714 MediumProperties 718 Point3f 92 SampledWavelengths 173

MediumProperties is a simple structure that wraps up the values that describe scattering and emission at a point inside a medium. When initialized to their default values, its member variables together indicate no scattering or emission. Thus, implementations of SamplePoint() can directly return a MediumProperties with no further initialization if the specified point is outside of the medium's spatial extent.

```
{MediumProperties Definition} =
  struct MediumProperties {
    SampledSpectrum sigma_a, sigma_s;
    PhaseFunction phase;
    SampledSpectrum Le;
};
```

The third method that Medium implementations must implement is SampleRay(), which provides information about the medium's majorant  $\sigma_{maj}$  along the ray's extent. It does so using one or more RayMajorantSegment objects. Each describes a constant majorant over a segment of a ray.

```
{RayMajorantSegment Definition} =
  struct RayMajorantSegment {
    Float tMin, tMax;
    SampledSpectrum sigma_maj;
};
```

Some Medium implementations have a single medium-wide majorant (e.g., Homogeneous Medium), though for media where the scattering coefficients vary significantly over their extent, it is usually better to have distinct local majorants that bound  $\sigma_t$  over smaller regions. These tighter majorants can improve rendering performance by reducing the frequency of null scattering when sampling interactions along a ray.

The number of segments along a ray is variable, depending on both the ray's geometry and how the medium discretizes space. However, we would not like to return variable-sized arrays of RayMajorantSegments from SampleRay() method implementations. Although dynamic memory allocation to store them could be efficiently handled using a ScratchBuffer, another motivation not to immediately return all of them is that often not all the RayMajorant Segments along the ray are needed; if the ray path terminates or scattering occurs along the ray, then any additional RayMajorantSegments past the corresponding point would be unused and their initialization would be wasted work.

Therefore, the RayMajorantIterator interface provides a mechanism for Medium implementations to return RayMajorantSegments one at a time as they are needed. There is a single method in this interface: Next(). Implementations of it should return majorant segments from the front to the back of the ray with no overlap in t between segments, though it may skip over ranges of t corresponding to regions of space where there is no scattering. (See Figure 11.17.) After it has returned all segments along the ray, an unset optional value should be returned. Thanks to this interface, different Medium implementations can generate RayMajorantSegments in different ways depending on their internal medium representation.



Float 23 HomogeneousMedium 720 PhaseFunction 710 RayMajorantIterator 719 RayMajorantSegment 718 SampledSpectrum 171 ScratchBuffer 1078

**Figure 11.17**: RayMajorantIterator implementations return a series of segments in parametric *t* along a ray where each segment has a majorant that is an upper bound of the medium's  $\sigma_t$  value along the segment. Implementations are free to specify segments of varying lengths and to skip regions of space with no scattering, though they must provide segments in front-to-back order.

Turning back now to the SampleRay() interface method: in Chapters 14 and 15 we will find it useful to know the type of RayMajorantIterator that is associated with a specific Medium type. We can then declare the iterator as a local variable that is stored on the stack, which improves efficiency both from avoiding dynamic memory allocation for it and from allowing the compiler to more easily store it in registers. Therefore, pbrt requires that Medium implementations include a local type definition for MajorantIterator in their class definition that gives the type of their RayMajorantIterator. Their SampleRay() method itself should then directly return their majorant iterator type. Concretely, a Medium implementation should include declarations like the following in its class definition, with the ellipsis replaced with its RayMajorantIterator type.

```
using MajorantIterator = ...;
MajorantIterator SampleRay(Ray ray, Float tMax,
const SampledWavelengths &lambda) const;
```

(The form of this type and method definition is similar to the Material::GetBxDF() methods in Section 10.5.)

For cases where the medium's type is not known at compile time, the Medium class itself provides the implementation of a different SampleRay() method that takes a ScratchBuffer, uses it to allocate the appropriate amount of storage for the medium's ray iterator, and then calls the Medium's SampleRay() method implementation to initialize it. The returned RayMajorantIterator can then be used to iterate over the majorant segments.

The implementation of this method uses the same trick that Material::GetBSDF() does: the TaggedPointer's dynamic dispatch capabilities are used to automatically generate a separate call to the provided lambda function for each medium type, with the medium parameter specialized to be of the Medium's concrete type.

DDAMajorantIterator 723 Float 23 HomogeneousMajorantIterator 721 Material::GetBSDF() 675 Material::GetBSDF() 674 Medium 714 Ray 95 RayMajorantIterator 719 RayMajorantSegment 718 SampledWavelengths 173 ScratchBuffer 1078 TaggedPointer 1073 TaggedPointer::DispatchCPU()

The Medium passed to the lambda function arrives as a reference to a pointer to the medium type; those are easily removed to get the basic underlying type. From it, the iterator type follows from the MajorantIterator type declaration in the associated class. In turn, storage can be allocated for the iterator type and it can be initialized. Since the returned value is of the RayMajorantIterator interface type, the caller can proceed without concern for the actual type.

```
⟨Return RayMajorantIterator for medium's majorant iterator⟩ ==
using ConcreteMedium = typename std::remove_reference_t<decltype(*medium)>;
using Iter = typename ConcreteMedium::MajorantIterator;
Iter *iter = (Iter *)buf.Alloc(sizeof(Iter), alignof(Iter));
*iter = medium->SampleRay(ray, tMax, lambda);
return RayMajorantIterator(iter);
```

#### **11.4.2 HOMOGENEOUS MEDIUM**

The HomogeneousMedium is the simplest possible medium. It represents a region of space with constant  $\sigma_a$ ,  $\sigma_s$ , and  $L_e$  values throughout its extent. It uses the Henyey–Greenstein phase function to represent scattering in the medium, also with a constant g. Its definition is in the files media.h and media.cpp. This medium was used for the images in Figures 11.15 and 11.16.

Its constructor (not included here) initializes the following member variables from provided parameters. It takes spectral values in the general form of Spectrums but converts them to the form of DenselySampledSpectrums. While this incurs a memory cost of a kilobyte or so for each one, it ensures that sampling the spectrum will be fairly efficient and will not require, for example, the binary search that PiecewiseLinearSpectrum uses. It is unlikely that there will be enough distinct instances of HomogeneousMedium in a scene that this memory cost will be significant.

<pre>(HomogeneousMedium Private Data) ≡ DenselySampledSpectrum sigma a spec, sigma s spec, Le spec;</pre>	720	DenselySampledSpectrum:: Sample() 167
HGPhaseFunction phase;		HGPhaseFunction 713
Implementation of the IsEmissive() interface method is straightforward.		HomogeneousMajorantIterator 721
$\langle HomogeneousMedium Public Methods \rangle \equiv$	720	HomogeneousMedium::Le_spec 720
<pre>bool IsEmissive() const { return Le spec.MaxValue() &gt; 0: }</pre>		HomogeneousMedium::phase 720
SamplePoint() just needs to sample the various constant scattering properties at the specified		HomogeneousMedium:: sigma_a_spec 720
wavelengths.		HomogeneousMedium::
$\langle HomogeneousMedium Public Methods \rangle + \equiv$	720	sigma_s_spec 720
MediumProperties SamplePoint(Point3f p.		MediumProperties 718
<pre>const SampledWavelengths λ) const {</pre>		PiecewiseLinearSpectrum 168
SampledSpectrum sigma a = sigma a spec Sample(lambda).		Point3f <mark>92</mark>
SampledSpectrum sigma_a sigma_a_spec.Sample(lambda);		RayMajorantIterator 719
SampledSpectrum Sigma_S - Sigma_S_spec.Sample(Tambda);		SampledSpectrum 171
SampledSpectrum Le = Le_spec.Sample(lambda);		SampledWavelengths 173
return MediumProperties{sigma_a, sigma_s, &phase, Le};		<pre>ScratchBuffer::Alloc() 1078</pre>
}		Spectrum 165
SampleRay() uses the HomogeneousMajorantIterator class for its RayMajorantIterator.		Spectrum::MaxValue() 166
		Spectrum::Sample() 175

⟨HomogeneousMedium Public Type Definitions⟩ ≡
using MajorantIterator = HomogeneousMajorantIterator;

719

720

DenselvSampledSpectrum 167

There is no need for null scattering in a homogeneous medium and so a single RayMajorant Segment for the ray's entire extent suffices. HomogeneousMajorantIterator therefore stores such a segment directly.

Its default constructor sets called to true and stores no segment; in this way, the case of a ray missing a medium and there being no valid segment can be handled with a default-initialized HomogeneousMajorantIterator.

If a segment was specified, it is returned the first time Next () is called. Subsequent calls return an unset value, indicating that there are no more segments.

```
{HomogeneousMajorantIterator Public Methods} += 721
pstd::optional<RayMajorantSegment> Next() {
    if (called) return {};
    called = true;
    return seg;
}
```

The implementation of HomogeneousMedium::SampleRay() is now trivial. Its only task is to compute the majorant, which is equal to  $\sigma_t = \sigma_a + \sigma_s$ .

```
{HomogeneousMedium Public Methods} += 720
HomogeneousMajorantIterator SampleRay(
    Ray ray, Float tMax, const SampledWavelengths &lambda) const {
    SampledSpectrum sigma_a = sigma_a_spec.Sample(lambda);
    SampledSpectrum sigma_s = sigma_s_spec.Sample(lambda);
    return HomogeneousMajorantIterator(0, tMax, sigma_a + sigma_s);
}
```

#### **11.4.3 DDA MAJORANT ITERATOR**

Before moving on to the remaining two Medium implementations, we will describe another RayMajorantIterator that is much more efficient than the HomogeneousMajorantIterator when the medium's scattering coefficients vary over its extent. To understand the problem with a single majorant in this case, recall that the mean free path is the average distance between scattering events. It is one over the attenuation coefficient and so the average t step returned by a call to SampleExponential() given a majorant  $\sigma_{maj}$  will be  $1/\sigma_{maj}$ . Now consider a medium that has a  $\sigma_t = 1$  almost everywhere but has  $\sigma_t = 100$  in a small region. If  $\sigma_{mai} = 100$  everywhere, then in the less dense region 99% of the sampled distances will be

Float 23 HomogeneousMajorantIterator 721 HomogeneousMajorantIterator:: called HomogeneousMajorantIterator:: seq HomogeneousMedium:: sigma\_a\_spec 720 HomogeneousMedium:: sigma\_s\_spec 720 Rav 95 RayMajorantIterator 719 RayMajorantSegment 718 SampledSpectrum 171

null-scattering events and the ray will take steps that are 100 times shorter than it would take if  $\sigma_{mai}$  was 1. Rendering performance suffers accordingly.

This issue motivates using a data structure to store spatially varying majorants, which allows tighter majorants and more efficient sampling operations. A variety of data structures have been used for this problem; the "Further Reading" section has details. The remainder of pbrt's Medium implementations all use a simple grid where each cell stores a majorant over the corresponding region of the volume. In turn, as a ray passes through the medium, it is split into segments through this grid and sampled based on the local majorant.

More precisely, the local majorant is found with the combination of a regular grid of voxels of scalar densities and a SampledSpectrum  $\sigma_t$  value. The majorant in each voxel is given by the product of  $\sigma_t$  and the voxel's density. The MajorantGrid class stores that grid of voxels.

```
⟨MajorantGrid Definition⟩ =
struct MajorantGrid {
    ⟨MajorantGrid Public Methods 722⟩
    ⟨MajorantGrid Public Members 722⟩
};
```

MajorantGrid just stores an axis-aligned bounding box for the grid, its voxel values, and its resolution in each dimension.

(MajorantGrid Public Members) =
Bounds3f bounds;
pstd::vector<Float> voxels;
Point3i res;

The voxel array is indexed in the usual manner, with x values laid out consecutively in memory, then y, and then z. Two simple methods handle the indexing math for setting and looking up values in the grid.

```
{MajorantGrid Public Methods} =
Float Lookup(int x, int y, int z) const {
    return voxels[x + res.x * (y + res.y * z)];
}
void Set(int x, int y, int z, Float v) {
    voxels[x + res.x * (y + res.y * z)] = v;
}
```

Next, the VoxelBounds () method returns the bounding box corresponding to the specified voxel in the grid. Note that the returned bounds are with respect to  $[0, 1]^3$  and not the bounds member variable.

```
(MajorantGrid Public Methods) += 722
Bounds3f VoxelBounds(int x, int y, int z) const {
    Point3f p0(Float(x) / res.x, Float(y) / res.y, Float(z) / res.z);
    Point3f p1(Float(x+1) / res.x, Float(y+1) / res.y, Float(z+1) / res.z);
    return Bounds3f(p0, p1);
}
```

Efficiently enumerating the voxels that the ray passes through can be done with a technique that is similar in spirit to Bresenham's classic line drawing algorithm, which incrementally finds series of pixels that a line passes through using just addition and comparisons to step from one pixel to the next. (This type of algorithm is known as a *digital differential analyzer* (DDA)—hence the name of the DDAMajorantIterator.) The main difference between the ray

Bounds3f 97 Float 23 MajorantGrid 722 MajorantGrid::res 722 MajorantGrid::voxels 722 Point3f 92 Point3i 92 SampledSpectrum 171

722

stepping algorithm and Bresenham's is that we would like to find *all* of the voxels that the ray passes through, while Bresenham's algorithm typically only turns on one pixel per row or column that a line passes through.

After copying parameters passed to it to member variables, the constructor's main task is to compute a number of values that represent the DDA's state.

$\langle DDAMajorantIterator Public Methods \rangle \equiv$	
DDAMajorantIterator(Ray ray, Float tMin, Float tMax,	
const MajorantGrid *grid, SampledSpectrum sigma	_t)
: tMin(tMin), tMax(tMax), grid(grid), sigma_t(sigma_t) {	
(Set up 3D DDA for ray through the majorant grid 724)	
}	

The tMin and tMax member variables store the parametric range of the ray for which majorant segments are yet to be generated; tMin is advanced after each step. Their default values specify a degenerate range, which causes a default-initialized DDAMajorantIterator to return no segments when its Next() method is called.

(DDAMajorantIterator Private Members) =
 SampledSpectrum sigma\_t;
 Float tMin = Infinity, tMax = -Infinity;
 const MajorantGrid \*grid;

Grid voxel traversal is handled by an incremental algorithm that tracks the current voxel and the parametric t where the ray enters the next voxel in each direction. It successively takes a step in the direction that has the smallest such t until the ray exits the grid or traversal is halted. The values that the algorithm needs to keep track of are the following:

- 1. The integer coordinates of the voxel currently being considered, voxel.
- 2. The parametric *t* position along the ray where it makes its next crossing into another voxel in each of the *x*, *y*, and *z* directions, nextCrossingT (Figure 11.18).
- 3. The change in the current voxel coordinates after a step in each direction (1 or -1), stored in step.
- 4. The parametric distance along the ray between voxels in each direction, deltaT.
- 5. The coordinates of the voxel after the last one the ray passes through when it exits the grid, voxelLimit.

The first two values are updated as the ray steps through the grid, while the last three are constant for each ray. All are stored in member variables.

\langle DDAMajorantIterator Private Members\ +=
Float nextCrossingT[3], deltaT[3];
int step[3], voxelLimit[3], voxel[3];

723

DDAMajorantIterator 723 Float 23 Infinity 361 MajorantGrid 722 Ray 95 SampledSpectrum 171 723



For the DDA computations, we will transform the ray to a coordinate system where the grid spans  $[0, 1]^3$ , giving the ray rayGrid. Working in this space simplifies some of the calculations related to the DDA.<sup>6</sup>

Some of the DDA state values for each dimension are always computed in the same way, while others depend on the sign of the ray's direction in that dimension.

(Initialize ray stepping parameters for axis) ≡
 (Compute current voxel for axis and handle negative zero direction 725)
 if (rayGrid.d[axis] >= 0) {
 (Handle ray with positive direction for voxel stepping 725)
 } else {
 (Handle ray with negative direction for voxel stepping 725)
 }
}

The integer coordinates of the initial voxel are easily found using the grid intersection point. Because it is with respect to the  $[0, 1]^3$  cube, all that is necessary is to scale by the resolution in each dimension and take the integer component of that value. It is, however, important to clamp this value to the valid range in case round-off error leads to an out-of-bounds value.

724

Bounds3::Diagonal() 101 Bounds3::Offset() 102 DDAMajorantIterator::grid 723 MajorantGrid::bounds 722 Point3f 92 Ray 95 Ray::d 95 Ray::o 95 Vector3f 86

<sup>6</sup> If you are wondering why it is correct to use the value of tMin that was computed using ray with rayGrid to find the point gridIntersect, review Section 6.1.4 and carefully consider how the components of rayGrid are initialized.

Next, deltaT is found by dividing the voxel width, which is one over its resolution since we are working in  $[0, 1]^3$ , by the absolute value of the ray's direction component for the current axis. (The absolute value is taken since *t* only increases as the DDA visits successive voxels.)

Finally, a rare and subtle case related to the IEEE floating-point representation must be handled. Recall from Section 6.8.1 that both "positive" and "negative" zero values can be represented as floats. Normally there is no need to distinguish between them as the distinction is mostly not evident—for example, comparing a negative zero to a positive zero gives a true result. However, the fragment after this one will take advantage of the fact that it is legal to compute  $1 \oslash 0$  in floating point, which gives an infinite value. There, we would always like the positive infinity, and thus negative zeros are cleaned up here.

The parametric *t* value where the ray exits the current voxel, nextCrossingT[axis], is found with the ray-slab intersection algorithm from Section 6.1.2, using the plane that passes through the corresponding voxel face. Given a zero-valued direction component, nextCrossingT ends up with the positive floating-point  $\infty$  value. The voxel stepping logic will always decide to step in one of the other directions and will correctly never step in this direction.

For positive directions, rays exit at the upper end of a voxel's extent and therefore advance plus one voxel in each dimension. Traversal completes when the upper limit of the grid is reached.

```
{Handle ray with positive direction for voxel stepping} = 724
Float nextVoxelPos = Float(voxel[axis] + 1) / grid->res[axis];
nextCrossingT[axis] = tMin + (nextVoxelPos - gridIntersect[axis]) /
rayGrid.d[axis];
step[axis] = 1;
voxelLimit[axis] = grid->res[axis];
```

Similar expressions give these values for rays with negative direction components.

```
(Handle ray with negative direction for voxel stepping) ≡
Float nextVoxelPos = Float(voxel[axis]) / grid->res[axis];
nextCrossingT[axis] = tMin + (nextVoxelPos - gridIntersect[axis]) /
rayGrid.d[axis];
step[axis] = -1;
voxelLimit[axis] = -1;
```

The Next () method takes care of generating the majorant segment for the current voxel and taking a step to the next using the DDA. Traversal terminates when the remaining parametric range  $[t_{\min}, t_{\max}]$  is degenerate.

Clamp() 1033 DDAMajorantIterator::deltaT 723 DDAMajorantIterator::grid 723 DDAMajorantIterator::nextCrossingT 723 DDAMajorantIterator::step 723 DDAMajorantIterator::voxel 723 DDAMajorantIterator::voxel 723 Float 23 MajorantGrid::res 722 Ray::d 95

The first order of business when Next() executes is to figure out which axis to step along to visit the next voxel. This gives the t value at which the ray exits the current voxel, tVoxelExit. Determining this axis requires finding the smallest of three numbers—the parametric t values where the ray enters the next voxel in each dimension, which is a straightforward task. However, in this case an optimization is possible because we do not care about the *value* of the smallest number, just its corresponding index in the nextCrossingT array. It is possible to compute this index in straight-line code without any branches, which can be beneficial to performance.

The following tricky bit of code determines which of the three nextCrossingT values is the smallest and sets stepAxis accordingly. It encodes this logic by setting each of the three low-order bits in an integer to the results of three comparisons between pairs of nextCrossingT values. It then uses a table (cmpToAxis) to map the resulting integer to the direction with the smallest value.

Computing the majorant for the current voxel is a matter of multiplying sigma\_t with the maximum density value over the voxel's volume.

(Get maxDensity for current voxel and initialize RayMajorantSegment, seg) ==
SampledSpectrum sigma\_maj = sigma\_t \*
grid->Lookup(voxel[0], voxel[1], voxel[2]);
RayMajorantSegment seg{tMin, tVoxelExit, sigma maj};

With the majorant segment initialized, the method finishes by updating the DDAMajorant Iterator's state to reflect stepping to the next voxel in the ray's path. That is easy to do given that the  $\langle Find \ stepAxis \ for \ stepping \ to \ next \ voxel \ and \ exit \ point \ tVoxelExit \rangle$  fragment has already set stepAxis to the dimension with the smallest t step that advances to the next voxel. First, tMin is tentatively set to correspond to the current voxel's exit point, though if stepping causes the ray to exit the grid, it is advanced to tMax. This way, the if test at the start of the Next() method will return immediately the next time it is called.

Otherwise, the DDA steps to the next voxel coordinates and increments the chosen direction's nextCrossingT by its deltaT value so that future traversal steps will know how far it is necessary to go before stepping in this direction again. DDAMajorantIterator::grid 723 DDAMajorantIterator:: nextCrossingT 723 DDAMajorantIterator::sigma\_t 723 DDAMajorantIterator::tMax 723 DDAMajorantIterator::tMin 723 DDAMajorantIterator::voxel 723 Float 23 MajorantGrid::Lookup() 722 RayMajorantSegment 718 SampledSpectrum 171

726

726

```
⟨Advance to next voxel in maximum density grid⟩ ≡
tMin = tVoxelExit;
if (nextCrossingT[stepAxis] > tMax) tMin = tMax;
voxel[stepAxis] += step[stepAxis];
if (voxel[stepAxis] == voxelLimit[stepAxis]) tMin = tMax;
nextCrossingT[stepAxis] += deltaT[stepAxis];
```

Although the grid can significantly improve the efficiency of volume sampling by providing majorants that are a better fit to the local medium density and thence reducing the number of null-scattering events, it also introduces the overhead of additional computations for stepping through voxels with the DDA. Too low a grid resolution and the majorants may not fit the volume well; too high a resolution and too much time will be spent walking through the grid. Figure 11.19 has a graph that illustrates these trade-offs, plotting voxel grid resolution versus execution time when rendering the cloud model used in Figures 11.2 and 11.8. We can see that the performance characteristics are similar on both the CPU and the GPU, with both exhibiting good performance with grid resolutions that span roughly 64 through 256 voxels on a side. Figure 11.20 shows the extinction coefficient and the majorant



Figure 11.19: Rendering Performance versus Maximum Density Grid Resolution. Performance is measured when rendering the cloud model in Figure 11.8 on both the CPU and the GPU; results are normalized to the performance on the corresponding processor with a single-voxel grid. Low-resolution grids give poor performance from many null-scattering events due to loose majorants, while high-resolution grids harm performance from grid traversal overhead.



**Figure 11.20: Extinction Coefficient and Majorant along a Ray.** These quantities are plotted for a randomly selected ray that was traced when rendering the image in Figure 11.8. The majorant grid resolution was 256 voxels on a side, which leads to a good fit to the actual extinction coefficient along the ray.



along a randomly selected ray that was traced when rendering the cloud scene; we can see that the majorants end up fitting the extinction coefficient well.

#### 11.4.4 UNIFORM GRID MEDIUM

The GridMedium stores medium densities and (optionally) emission at a regular 3D grid of positions, similar to the way that the image textures represent images with a 2D grid of samples.

```
(GridMedium Definition) ≡
class GridMedium {
   public:
        (GridMedium Public Type Definitions 730)
        (GridMedium Public Methods 729)
   private:
        (GridMedium Private Members 728)
};
```

The constructor takes a 3D array that stores the medium's density and values that define emission as well as the medium space bounds of the grid and a transformation matrix that goes from medium space to rendering space. Most of its work is direct initialization of member variables, which we have elided here. Its one interesting bit is in the fragment (*Initialize* majorantGrid *for* GridMedium), which we will see in a few pages.

⟨GridMedium Private Members⟩ ≡
Bounds3f bounds;
Transform renderFromMedium;

728

Two steps give the  $\sigma_a$  and  $\sigma_s$  values for the medium at a point: first, baseline spectral values of these coefficients, sigma\_a\_spec and sigma\_s\_spec, are sampled at the specified wavelengths to give SampledSpectrum values for them. These are then scaled by the interpolated density from densityGrid. The phase function in this medium is uniform and parameterized only by the Henyey–Greenstein g parameter.

⟨GridMedium Private Members⟩ +≡
DenselySampledSpectrum sigma\_a\_spec, sigma\_s\_spec;
SampledGrid<Float> densityGrid;
HGPhaseFunction phase;

728

728

The GridMedium allows volumetric emission to be specified in one of two ways. First, a grid of temperature values may be provided; these are interpreted as blackbody emission temperatures specified in degrees Kelvin (Section 4.4.1). Alternatively, a single general spectral distribution may be provided. Both are then scaled by values from the LeScale grid. Even though spatially varying general spectral distributions are not supported, these representations make it possible to specify a variety of emissive effects; Figure 11.5 uses blackbody emission and Figure 11.21 uses a scaled spectrum. An exercise at the end of the chapter outlines how this representation might be generalized.

⟨GridMedium Private Members⟩ +≡
pstd::optional<SampledGrid<Float>> temperatureGrid;
DenselySampledSpectrum Le\_spec;
SampledGrid<Float> LeScale;

Bounds3f 97 DenselySampledSpectrum 167 Float 23 GridMedium 728 HGPhaseFunction 713 SampledGrid 1076 SampledSpectrum 171 Transform 120



**Figure 11.21: Volumetric Emission Specified with a Spectrum**. The emission inside the globe is specified using a fixed spectrum that represents a purple color that is then scaled by a spatially varying factor. *(Scene courtesy of Jim Price.)* 

A Boolean, isEmissive, indicates whether any emission has been specified. It is initialized in the GridMedium constructor, which makes the implementation of the IsEmissive() interface method easy.

$GridMedium Public Methods  angle \equiv$	728
<pre>bool IsEmissive() const { return isEmissive; }</pre>	
GridMedium Private Members $\rangle + \equiv$	728

bool isEmissive;

The medium's properties at a given point are found by interpolating values from the appropriate grids.

$\langle GridMedium Public Methods \rangle + \equiv$	728
MediumProperties SamplePoint(Point3f p,	
<pre>const SampledWavelengths λ) const {</pre>	
(Sample spectra for grid medium $\sigma_{a}$ and $\sigma_{s}$ 729)	
(Scale scattering coefficients by medium density at p <b>730</b> )	
$\langle Compute grid emission Le at p 730 \rangle$	
return MediumProperties{sigma_a, sigma_s, &phase, Le};	
}	

DenselySampledSpectrum:: Sample() 167 GridMedium::isEmissive 729 GridMedium::phase 728

GridMedium::sigma\_a\_spec 728 GridMedium::sigma\_s\_spec 728 MediumProperties 718 Point3f 92 SampledSpectrum 171 SampledWavelengths 173 Initial values of  $\sigma_a$  and  $\sigma_s$  are found by sampling the baseline values.

```
 \begin{array}{ll} \langle Sample \ spectra \ for \ grid \ medium \ \sigma_a \ and \ \sigma_s \rangle \equiv & & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & &
```

Next,  $\sigma_a$  and  $\sigma_s$  are scaled by the interpolated density at p. The provided point must be transformed from rendering space to the medium's space and then remapped to  $[0, 1]^3$  before the grid's Lookup() method is called to interpolate the density.

 $\langle Scale \ scattering \ coefficients \ by \ medium \ density \ at \ p 
angle \equiv$ 

p = renderFromMedium.ApplyInverse(p); p = Point3f(bounds.Offset(p)); Float d = densityGrid.Lookup(p); sigma\_a \*= d; sigma s \*= d;

If emission is present, the emitted radiance at the point is computed using whichever of the methods was used to specify it. The implementation here goes through some care to avoid calls to Lookup() when they are unnecessary, in order to improve performance.

```
(Compute grid emission Le at p) =
SampledSpectrum Le(0.f);
if (isEmissive) {
    Float scale = LeScale.Lookup(p);
    if (scale > 0) {
        (Compute emitted radiance using temperatureGrid or Le_spec 730)
    }
}
```

Given a nonzero scale, whichever method is being used to specify emission is queried to get the SampledSpectrum.

$(Compute emitted radiance using temperatureGrid or Le_spec) \equiv$	730
if (temperatureGrid) {	
<pre>Float temp = temperatureGrid-&gt;Lookup(p);</pre>	
<pre>Le = scale * BlackbodySpectrum(temp).Sample(lambda);</pre>	
} else	
<pre>Le = scale * Le_spec.Sample(lambda);</pre>	

As mentioned earlier, GridMedium uses DDAMajorantIterator to provide its majorants rather than using a single grid-wide majorant.

```
⟨GridMedium Public Type Definitions⟩ ≡ 728
using MajorantIterator = DDAMajorantIterator;
```

The GridMedium constructor concludes with the following fragment, which initializes a MajorantGrid with its majorants. Doing so is just a matter of iterating over all the majorant cells, computing their bounds, and finding the maximum density over them. The maximum density is easily found with a convenient SampledGrid method.

```
{Initialize majorantGrid for GridMedium) =
for (int z = 0; z < majorantGrid.res.z; ++z)
for (int y = 0; y < majorantGrid.res.y; ++y)
for (int x = 0; x < majorantGrid.res.x; ++x) {
Bounds3f bounds = majorantGrid.VoxelBounds(x, y, z);
majorantGrid.Set(x, y, z, densityGrid.MaxValue(bounds));
}
(GridMedium Private Members) +=
MajorantGrid majorantGrid;
728
</pre>
```

The implementation of the SampleRay() Medium interface method is now easy. We can find the overlap of the ray with the medium using a straightforward fragment, not included here, and compute the baseline  $\sigma_t$  value. With that, we have enough information to initialize the DDAMajorantIterator.

729

729

BlackbodySpectrum 169 Bounds3::Offset() 102 Bounds3f 97 DDAMajorantIterator 723 DenselySampledSpectrum:: Sample() 167 Float 23 GridMedium 728 GridMedium::bounds 728 GridMedium::densityGrid 728 GridMedium::isEmissive 729 GridMedium::LeScale 728 GridMedium::Le spec 728 GridMedium::maiorantGrid 730 GridMedium::renderFromMedium 728 GridMedium::temperatureGrid 728 MajorantGrid 722 MajorantGrid::res 722 MajorantGrid::Set() 722 MajorantGrid::VoxelBounds() Point3f 92 SampledGrid 1076 SampledGrid::Lookup() 1077 SampledGrid::MaxValue() 1077 SampledSpectrum 171 Transform::ApplyInverse()

#### 11.4.5 RGB GRID MEDIUM

The last Medium implementation that we will describe is the RGBGridMedium. It is a variant of GridMedium that allows specifying the absorption and scattering coefficients as well as volumetric emission via RGB colors. This makes it possible to render a variety of colorful volumetric effects; an example is shown in Figure 11.22.

Its constructor, not included here, is similar to that of GridMedium in that most of what it does is to directly initialize member variables with values passed to it. As with GridMedium, the medium's extent is jointly specified by a medium space bounding box and a transformation from medium space to rendering space.

⟨RGBGridMedium Private Members⟩ ≡
Bounds3f bounds;
Transform renderFromMedium;

731



Figure 11.22: Volumetric Scattering Properties Specified Using RGB Coefficients. The RGBGridMedium class makes it possible to specify colorful participating media like the example shown here. (Scene courtesy of Jim Price.)

Bounds3f 97 DDAMajorantIterator 723 Float 23 GridMedium 728 GridMedium::majorantGrid 730 Ray 95 RGBGridMedium 731 SampledSpectrum 171 SampledWavelengths 173 Transform 120

731

Emission is specified by the combination of an optional SampledGrid of RGBIlluminant Spectrum values and a scale factor. The RGBGridMedium reports itself as emissive if the grid is present and the scale is nonzero. This misses the case of a fully zero LeGrid, though we assume that case to be unusual.

$\langle RGBGridMedium Public Methods \rangle \equiv$	731
<pre>bool IsEmissive() const { return LeGrid &amp;&amp; LeScale &gt; 0; }</pre>	
$\langle RGBGridMedium Private Members \rangle + \equiv$	731
<pre>pstd::optional<sampledgrid<rgbilluminantspectrum>&gt; LeGrid;</sampledgrid<rgbilluminantspectrum></pre>	

```
Float LeScale;
```

Sampling the medium at a point is mostly a matter of converting the various RGB values to SampledSpectrum values and trilinearly interpolating them to find their values at the lookup point p.

```
 \langle RGBGridMedium Public Methods \rangle + \equiv \\ MediumProperties SamplePoint(Point3f p, \\ const SampledWavelengths &lambda) const { \\ p = renderFromMedium.ApplyInverse(p); \\ p = Point3f(bounds.Offset(p)); \\ \langle Compute \sigma_a \ and \ \sigma_s \ for RGBGridMedium \ 732 \rangle \\ \langle Find \ emitted \ radiance \ Le \ for RGBGridMedium \ 733 \rangle \\ return \ MediumProperties{sigma_a, sigma_s, &phase, Le}; \\ }
```

As with earlier Medium implementations, the phase function is uniform throughout this medium.

```
⟨RGBGridMedium Private Members⟩ +≡
HGPhaseFunction phase;
```

731

731

```
Bounds3::Offset() 102
Float 23
HGPhaseFunction 713
MediumProperties 718
Point3f 92
RGBGridMedium 731
RGBGridMedium::LeGrid 732
RGBGridMedium::LeScale 732
RGBGridMedium::
  renderFromMedium
RGBGridMedium::sigmaScale
RGBIlluminantSpectrum 199
RGBUnboundedSpectrum 198
RGBUnboundedSpectrum::
  Sample()
  199
SampledGrid 1076
SampledGrid::Lookup() 1077
SampledSpectrum 171
SampledWavelengths 173
Transform::ApplyInverse()
```

The absorption and scattering coefficients are stored using the RGBUnboundedSpectrum class. However, this class does not support the arithmetic operations that are necessary to perform trilinear interpolation in the SampledGrid::Lookup() method. For such cases, SampledGrid allows passing a callback function that converts the in-memory values to another type that does support them. Here, the implementation provides one that converts to SampledSpectrum, which does allow arithmetic and matches the type to be returned in MediumProperties as well.

```
\label{eq:compute sigma_a} & \mbox{Gompute $\sigma_a$ and $\sigma_s$ for RGBGridMedium} \equiv $$732$ auto convert = [=] (RGBUnboundedSpectrum s) { return s.Sample(lambda); }; SampledSpectrum sigma_a = sigmaScale * $$(sigma_aGrid ? sigma_aGrid->Lookup(p, convert) : SampledSpectrum(1.f)); SampledSpectrum sigma_s = sigmaScale * $$(sigma_sGrid ? sigma_sGrid->Lookup(p, convert) : SampledSpectrum(1.f)); $$
```

Because sigmaScale is applied to both  $\sigma_a$  and  $\sigma_s$ , it provides a convenient way to fine-tune the density of a medium without needing to update all of its individual RGB values.

```
\langle RGBGridMedium Private Members \rangle + \equiv
```

pstd::optional<SampledGrid<RGBUnboundedSpectrum>> sigma\_aGrid, sigma\_sGrid;
Float sigmaScale;

Volumetric emission is handled similarly, with a lambda function that converts the RGB IlluminantSpectrum values to SampledSpectrums for trilinear interpolation in the Lookup() method.

```
{Find emitted radiance Le for RGBGridMedium} ≡
SampledSpectrum Le(0.f);
if (LeGrid && LeScale > 0) {
   auto convert =
    [=] (RGBIIluminantSpectrum s) { return s.Sample(lambda); };
   Le = LeScale * LeGrid->Lookup(p, convert);
}
```

The DDAMajorantIterator provides majorants for the RGBGridMedium as well.

```
⟨RGBGridMedium Public Type Definitions⟩ ≡
using MajorantIterator = DDAMajorantIterator;
```

The MajorantGrid that is used by the DDAMajorantIterator is initialized by the following fragment, which runs at the end of the RGBGridMedium constructor.

Before explaining how the majorant grid voxels are initialized, we will discuss why RGB UnboundedSpectrum values are stored in rgbDensityGrid rather than the more obvious choice of RGB values. The most important reason is that the RGB to spectrum conversion approach from Section 4.6.6 does not guarantee that the spectral distribution's value will always be less than or equal to the maximum of the original RGB components. Thus, storing RGB and setting majorants using bounds on RGB values would not give bounds on the eventual SampledSpectrum values that are computed.

One might nevertheless try to store RGB, convert those RGB values to spectra when initializing the majorant grid, and then bound those spectra to find majorants. That approach would also be unsuccessful, since when two RGB values are linearly interpolated, the corresponding RGBUnboundedSpectrum does not vary linearly between the RGBUnboundedSpectrum distributions of the two original RGB values.

Thus, RGBGridMedium stores RGBUnboundedSpectrum values at the grid sample points and linearly interpolates their SampledSpectrum values at lookup points. With that approach, we can guarantee that bounds on RGBUnboundedSpectrum values in a region of space (and then a bit more, given trilinear interpolation) give bounds on the sampled spectral values that are returned by SampledGrid::Lookup() in the SamplePoint() method, fulfilling the requirement for the majorant grid.

To compute the majorants, we use a SampledGrid method that returns its maximum value over a region of space and takes a lambda function that converts its underlying type to another—here, Float for the MajorantGrid.

One nit in how the majorants are computed is that the following code effectively assumes that the values in the  $\sigma_a$  and  $\sigma_s$  grids are independent. Although it computes a valid majorant, it is

Bounds3f 97 DDAMajorantIterator 723 MaiorantGrid 722 MajorantGrid...res 722 MajorantGrid::VoxelBounds() 722 RGB 182 RGBGridMedium 731 RGBGridMedium::LeGrid 732 RGBGridMedium::LeScale 732 RGBGridMedium::majorantGrid 734 RGBIlluminantSpectrum 199 RGBIlluminantSpectrum:: Sample() 200 RGBUnboundedSpectrum 198 SampledGrid 1076 SampledGrid::Lookup() 1077 SampledSpectrum 171

732

unable to account for cases like the two being defined such that  $\sigma_s = c - \sigma_a$  for some constant c. Then, the bound will be looser than it could be.

⟨RGBGridMedium Private Members⟩ +≡ MajorantGrid majorantGrid;

With the majorant grid initialized, the SampleRay() method's implementation is trivial. (See Exercise 11.3 for a way in which it might be improved, however.)

# **FURTHER READING**

The books written by van de Hulst (1980) and Preisendorfer (1965, 1976) are excellent introductions to volume light transport. The seminal book by Chandrasekhar (1960) is another excellent resource, although it is mathematically challenging. d'Eon's book (2016) has rigorous coverage of this topic and includes extensive references to work in the area. Novák et al.'s report (2018) provides a comprehensive overview of research in volumetric light transport for rendering through 2018; see also the "Further Reading" section of Chapter 14 for more references on this topic.

The Henyey–Greenstein phase function was originally described by Henyey and Greenstein (1941). Detailed discussion of scattering and phase functions, along with derivations of phase functions that describe scattering from independent spheres, cylinders, and other simple shapes, can be found in van de Hulst's book (1981). Extensive discussion of the Mie and Rayleigh scattering models is also available there. Hansen and Travis's survey article is also a good introduction to the variety of commonly used phase functions (Hansen and Travis 1974); see also d'Eon's book (2016) for a catalog of useful phase functions and associated sampling techniques.

While the Henyey–Greenstein model often works well, there are many media that it cannot represent accurately. Gkioulekas et al. (2013a) showed that sums of Henyey–Greenstein and von Mises-Fisher lobes are more accurate for representing scattering in many materials than Henyey–Greenstein alone and derived a 2D parameter space that allows for intuitive control of translucent appearance.

The paper by Raab et al. (2006) introduced many important sampling building-blocks for rendering participating media to graphics, including the delta-tracking algorithm for inhomogeneous media. Delta tracking has been independently invented in a number of fields; see both Kutz et al. (2017) and Kettunen et al. (2021) for further details of this history.

The ratio tracking algorithm was introduced to graphics by Novák et al. (2014), though see the discussion in Novák et al. (2018) for the relationship of this approach to previously de-

DDAMajorantIterator 723 Float 23 MajorantGrid 722 MajorantGrid::Set() 722 Ray 95 RGBGridMedium::majorantGrid 734 RGBGridMedium::sigma\_aGrid 732 RGBGridMedium::sigma\_aGrid 732 RGBUnboundedSpectrum 198 SampledGrid::MaxValue() 1077 SampledSpectrum 171 SampledSpectrum 171

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veloped estimators in neutron transport. Novák et al. (2014) also introduced *residual ratio tracking*, which makes use of lower bounds on a medium's density to analytically integrate part of the beam transmittance. Kutz et al. (2017) extended this approach to distance sampling and introduced the integral formulation of transmittance due to Galtier et al. (2013). Our derivation of the integral transmittance equations (11.10) and (11.13) follows Georgiev et al. (2019), as does our discussion of connections between those equations and various transmittance estimators. Georgiev at al. also developed a number of additional estimators for transmittance that can give significantly lower error than the ratio tracking estimator that pbrt uses.

Kettunen et al. (2021) recently developed a significantly improved transmittance estimator with much lower error than previous approaches. Remarkably, their estimator is effectively a combination of uniform ray marching with a correction term that removes bias.

For media with substantial variation in density, delta tracking can be inefficient—many small steps must be taken to get through the optically thin sections. Danskin and Hanrahan (1992) presented a technique for efficient volume ray marching using a hierarchical data structure. Another way of addressing this issue was presented by Szirmay-Kalos et al. (2011), who used a grid to partition scattering volumes in cells and applied delta tracking using the majorant of each cell as the ray passed through them. This is effectively the approach implemented in pbrt's DDAMajorantIterator. The grid cell traversal algorithm implemented there is due to Cleary and Wyvill (1988) and draws from Bresenham's line drawing algorithm (Bresenham 1965). Media stored in grids are sometimes tabulated in the camera's projective space, making it possible to have more detail close to the camera and less detail farther away. Gamito has recently developed an algorithm for DDA traversal in this case (Gamito 2021).

Yue et al. (2010) used a kd-tree to store majorants, which was better able to adapt to spatially varying densities than a grid. In follow-on work, they derived an approach to estimate the efficiency of spatial partitionings and used it to construct them more effectively (Yue et al. 2011).

Because scattering may be sampled rarely in optically thin media, many samples may be necessary to achieve low error. To address this issue, Villemin et al. proposed increasing the sampling density in such media (Villemin et al. 2018).

Kulla and Fajardo (2012) noted that techniques based on sampling according to transmittance ignore another important factor: spatial variation in the scattering coefficient. They developed a method based on computing a tabularized 1D sampling distribution for each ray passing through participating media based on the product of beam transmittance and scattering coefficient at a number of points along it. They then drew samples from this distribution, showing good results.

A uniform grid of sample values as is implemented in GridMedium and RGBGridMedium may consume an excessive amount of memory, especially for media that have not only large empty regions of space but also fine detail in some regions. This issue is addressed by Museth's VDB format (2013) as well as the Field3D system that was described by Wrenninge (2015), both of which use adaptive hierarchical grids to reduce storage requirements. pbrt's NanoVDBMedium is based on NanoVDB (Museth 2021), which is a lighterweight version of VDB.

Just as procedural modeling of textures is an effective technique for shading surfaces, procedural modeling of volume densities can be used to describe realistic-looking volumetric objects like clouds and smoke. Perlin and Hoffert (1989) described early work in this area, and the book by Ebert et al. (2003) has a number of sections devoted to this topic, including further references. More recently, accurate physical simulation of the dynamics of smoke and fire has led to extremely realistic volume data sets, including the ones used in this chapter; for

DDAMajorantIterator 723 GridMedium 728 NanoVDBMedium 714 RGBGridMedium 731 early work in this area, see for example Fedkiw, Stam, and Jensen (2001). The book by Wrenninge (2012) has further information about modeling participating media, with particular focus on techniques used in modern feature film production.

For media that are generated through simulations, it may be desirable to account for the variation in the medium over time in order to include the effect of motion blur. Clinton and Elendt (2009) described an approach to do so based on deforming the vertices of the grid that stores the medium, and Kulla and Fajardo (2012) applied Eulerian motion blur, where each grid cell also stores a velocity vector that is used to shift the lookup point based on its time. Wrenninge described a more efficient approach that instead stores the scattering properties in each cell as a compact time-varying function (Wrenninge 2016).

In this chapter, we have ignored all issues related to sampling and antialiasing of volume density functions that are represented by samples in a 3D grid, although these issues should be considered, especially in the case of a volume that occupies just a few pixels on the screen. Furthermore, we have used a simple triangle filter to reconstruct densities at intermediate positions, which is suboptimal for the same reasons that the triangle filter is not a high-quality image reconstruction filter. Marschner and Lobb (1994) presented the theory and practice of sampling and reconstruction for 3D data sets, applying ideas similar to those in Chapter 8. See also the paper by Theußl, Hauser, and Gröller (2000) for a comparison of a variety of windowing functions for volume reconstruction with the sinc function and a discussion of how to derive optimal parameters for volume reconstruction filter functions.

Hofmann et al. (2021) noted that sample reconstruction may have a significant performance cost, even with trilinear filtering. They suggested *stochastic sample filtering*, where a single volume sample is chosen with probability given by its filter weight, and showed performance benefits. However, this approach does introduce bias if a nonlinear function is applied to the sample value (as is the case when estimating transmittance, for example).

Acquiring volumetric scattering properties of real-world objects is particularly difficult, requiring a solution to the inverse problem of determining the values that lead to the measured result. See Jensen et al. (2001b), Goesele et al. (2004), Narasimhan et al. (2006), and Peers et al. (2006) for work on acquiring scattering properties for subsurface scattering. More recently, Gkioulekas et al. (2013b) produced accurate measurements of a variety of media. Hawkins et al. (2005) have developed techniques to measure properties of media like smoke, acquiring measurements in real time. Another interesting approach to this problem was introduced by Frisvad et al. (2007), who developed methods to compute these properties from a lower-level characterization of the scattering properties of the medium. A comprehensive survey of work in this area was presented by Frisvad et al. (2020). (See also the discussion of inverse rendering techniques in Section 16.3.1 for additional approaches to these problems.)

Acquiring the volumetric density variation of participating media is also challenging. See work by Fuchs et al. (2007), Atcheson et al. (2008), and Gu et al. (2013a) for a variety of approaches to this problem, generally based on illuminating the medium in particular ways while photographing it from one or more viewpoints.

# EXERCISES

I1.1 The GridMedium and RGBGridMedium classes use a relatively large amount of memory for complex volume densities. Determine their memory requirements when used with complex medium densities and modify their implementations to reduce memory use. One approach might be to detect regions of space with constant (or relatively constant) density values using an octree data structure and to only re-

GridMedium 728 RGBGridMedium 731 fine the octree in regions where the densities are changing. Another possibility is to use less memory to record each density value—for example, by computing the minimum and maximum densities and then using 8 or 16 bits per density value to interpolate between them. What sorts of errors appear when either of these approaches is pushed too far?

- Inprove GridMedium to allow specifying grids of arbitrary Spectrum values to define emission. How much more memory does your approach use for blackbody emission distributions than the current implementation, which only stores floating-point temperatures in that case? How much memory does it use when other spectral representations are provided? Can you find ways of reducing memory use—for example, by detecting equal spectra and only storing them in memory once?
- 11.3 One shortcoming of the majorants computed by the RGBGridMedium is that they do not account for spectral variation in the scattering coefficients—although conservative, they may give a loose bound for wavelengths where the coefficients are much lower than the maximum values. Computing tighter majorants is not straightforward in a spectral renderer: in a renderer that used RGB color for rendering, it is easy to maintain a majorant grid of RGB values instead of Floats, though doing so is more difficult with a spectral renderer, for reasons related to why RGBUnboundedSpectrum values are stored in the grids for  $\sigma_a$  and  $\sigma_s$  and not RGB. (See the discussion of this topic before the ⟨*Initialize* majorantGrid *voxel for RGB*  $\sigma_a$  *and*  $\sigma_s$ ⟩ fragment.)

Investigate this issue and develop an approach that better accounts for spectral variation in the scattering coefficients to return wavelength-varying majorants when RGBGridMedium::SampleRay() is called. You might, for example, find a way to compute RGBUnboundedSpectrum values that bound the maximum of two or more others. How much overhead does your representation introduce? How much is rendering time improved for scenes with colored media due to more efficient sampling when it is used?

- I1.4 The Medium implementations that use the MajorantGrid all currently use fixed grid resolutions for it, regardless of the amount of variation in density in their underlying media. Read the paper by Yue et al. (2011) and use their approach to choose those resolutions adaptively. Then, measure performance over a sweep of grid sizes with a variety of volume densities. Are there any cases where there is a significant performance benefit from a different grid resolution? Considering their assumptions and pbrt's implementation, can you explain any discrepancies between grid sizes set with their heuristics versus the most efficient resolution in pbrt?
- e 11.5 Read Wrenninge's paper (2016) on a time-varying density representation for motion blur in volumes and implement this approach in pbrt. One challenge will be to generate volumes in this representation; you may need to implement a physical simulation system in order to make some yourself.

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